

Introduction to zeta Functions

Dajing Wan.

Math is about solving equations.

1. Counting prime numbers

$$\mathbb{Z} = \{0, \pm 1, \pm 2, \dots\} \quad (\mathbb{Z}, +) \text{ cyclic abelian gp}$$

$(\mathbb{Z} \setminus \{0\}, \cdot)$ semi-abelian gp generated by prime number

Thm (fundamental thm of arithmetic)

Every $n \in \mathbb{Z}_{>0}$ can be factorized in a unique way as a product of prime powers

Def: For $t \in \mathbb{R}_{\geq 2}$, define

$$\pi(t) = \#\{p \text{ prime} \mid 2 \leq p \leq t\}$$

Thm $\lim_{t \rightarrow \infty} \pi(t) = \infty$

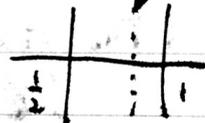
Prime number theorem: $\pi(t) \sim \frac{t}{\ln t} (1 + o(1))$

Cor Prob (random chosen $n \in \mathbb{Z}_{>0}$ to be prime) = $\frac{1}{\ln n}$

This suggests $\pi(t) \sim \int_2^t \frac{1}{\ln x} dx = \text{Li}(t) = \frac{t}{\ln t} + \frac{t}{\ln^2 t} + \frac{2t}{\ln^3 t} + \dots + O\left(\frac{t!}{\ln^{k+1} t}\right)$

Riemann Hypothesis (RH) $\pi(t) = \int_2^t \frac{dx}{\ln x} + O_e(t^{\frac{1}{2} + \epsilon}) \quad \forall \epsilon > 0$

Equivalently, $\left| \pi(t) - \int_2^t \frac{dx}{\ln x} \right| \leq \frac{\sqrt{t} \ln t}{8\pi}, t \geq 2657$



Thm: $\pi(t) = \int_2^t \frac{dx}{\ln x} + O\left(t \cdot \exp\left(\frac{-A(\ln t)^{3/5}}{(\ln \ln t)^{1/5}}\right)\right) \quad A > 0$

2. Riemann Zeta function

$$\zeta(z, s) = \prod_{p \text{ prime}} \frac{1}{1 - p^{-s}} = \prod_{p \text{ prime}} (1 + p^{-s} + p^{-2s} + \dots) \stackrel{\text{UFD}}{=} \sum_{n=1}^{\infty} \frac{1}{n^s} < \infty \text{ if } \text{Re}(s) > 1$$

$\zeta(z, s)$ is \mathbb{C} -entire for $\text{Re}(s) > 1$

Thm (Riemann) $\zeta(z, s)$ extends to a \mathbb{C} -meromorphic function in $s \in \mathbb{C}$ with a simple pole at $s=1$ i.e. $(s-1)\zeta(z, s)$ is a \mathbb{C} -entire function

(there is an explicit formula for $\pi(t)$ in terms of zeros and poles)
 \Rightarrow of $\zeta(\mathbb{Z}, s)$

RH: If $s \in \mathbb{C}$ s.t. $\zeta(\mathbb{Z}, s) = 0 \Rightarrow \operatorname{Re}(s) \in \frac{1}{2}\mathbb{Z}$.

3. Hasse-Weil zeta functions

Let A be a f.g. commutative \mathbb{Z} -algebra

$$A = \mathbb{Z}[x_1, \dots, x_n] / I \quad I \text{ is an ideal of } \mathbb{Z}[x_1, \dots, x_n]$$

(Hilbert basis thm: $I = (f_1(x_1, \dots, x_n), \dots, f_m(x_1, \dots, x_n))$ ideals

It is difficult to count prime ideals of A , so we turn to maximal

$X = \operatorname{Spec} A =$ the set of all the prime ideals in A
 (Zariski topology)

$|X| = \operatorname{Spec} A =$ the set of maximal ideals in A
 $=$ the set of closed points in X

If $x \in |X|$, $\Rightarrow A/x$ is a f.g. field over \mathbb{Z}

$\Rightarrow A/x$ is a finite field \mathbb{F}_{p^r} for some prime p and $r \geq 1$

Def: $N(x) = \# A/x = p^r$

(生成元数 \uparrow)

Def (The Hasse-Weil) Zeta function of A (or X) is

$$\zeta(A, s) = \prod_{x \in |X|} \frac{1}{1 - N(x)^{-s}} \quad \text{e.g. } \zeta(\mathbb{Z}, s) = \prod_{p \text{ prime}} \frac{1}{1 - p^{-s}}$$

Prop: $\zeta(A, s)$ is \mathbb{C} -analytic for $\operatorname{Re}(s) > \dim(X)$

Conj^(I) (Meromorphic Continuation)

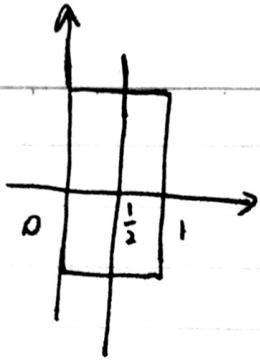
$\zeta(A, s)$ extends to a \mathbb{C} -meromorphic function in $s \in \mathbb{C}$

\Rightarrow An explicit formula, for $\pi_A(t) = \# \{x \in |X| \mid N(x) \leq t\}$

in terms of zeros and poles of $\zeta(A, s)$

Conj^(II) (GRH) If $s \in \mathbb{C}$ s.t. $\zeta(A, s) = 0$ or ∞

$\Rightarrow \operatorname{Re}(s) \in \frac{1}{2}\mathbb{Z}$ (\Rightarrow a sharp asymptotic formula for $\pi_A(t)$)



to check RH is right in the box
 use the Integration to compute the number of roots
 second, check on the line $\frac{1}{2} + it$
 that the sign changes k times, where k
 is the root number (Q: what if the root on $\frac{1}{2} + it$
 have multiplicity)

4. Examples

① $A = \mathbb{Z}/(n)$ $n > 1$ positive integer $\dim = 0$

write $n = p_1^{a_1} \cdots p_k^{a_k}$ $p_1 < \cdots < p_k$ prime

max ideals $= (p_j) = p_j A$

$N(p_j A) = \# A/p_j A = \# \mathbb{Z}/p_j \mathbb{Z} = p_j$

$\Rightarrow \zeta(A, s) = \prod_{j=1}^k \frac{1}{1-p_j^{-s}}$ is \mathbb{C} -mero in $s \in \mathbb{C}$

no zeros

poles: $1 = p_j^{-s} \Rightarrow 1 = e^{-s \ln p_j} \Rightarrow s = -\frac{2\pi i \cdot m}{\ln p_j}$ $m \in \mathbb{Z}$

$\text{Res} = 0 \in \frac{1}{2} \mathbb{Z}$

so the conjecture holds

Q: how fast can one compute $\zeta(\mathbb{Z}/n\mathbb{Z}, s)$
 (\Leftrightarrow integer factorization of n)

② $A = \mathbb{Z}[X]/(p)$ p prime $\dim = 1$ (dim is big, the problem is difficult)

$= \mathbb{F}_p[X]$

max ideals $= (f)$ $f \in \mathbb{F}_p[X]$ irreducible of $\deg f \geq 1$

$N(f) = \# A/(f) = p^{\deg(f)}$

so $\zeta(A, s) = \prod_{f \text{ irred}} \frac{1}{1-p^{-\deg(f) \cdot s}} = \prod_{f \text{ ir}} \frac{1}{1-(p^{-s})^{\deg f}} = \zeta(A, p^{-s})$

where $\zeta(A, T) = \prod_{f \text{ ir}} \frac{1}{1-T^{\deg f}} = \prod_{f \text{ ir}} (1 + T^{\deg f} + T^{2 \deg f} + \dots)$

$\text{UFD} \sum_{\substack{g \in \mathbb{F}_p[X] \\ \text{monic}}} T^{\deg g} = \sum_{d=0}^{\infty} \left(\sum_{\substack{g \in \mathbb{F}_p[X] \\ \text{monic} \\ \deg(g)=d}} 1 \right) T^d$

$$(g = x^d + a_1 x^{d-1} + \dots + a_d \quad a_i \in \mathbb{F}_p)$$

$$= \sum_{d=0}^{\infty} p^d T^d = \frac{1}{1-pT} \in \mathcal{O}[\mathbb{T}]$$

$$\zeta(A, s) = Z(A, P^{-s}) = \frac{1}{1-p^{-s}} \quad \mathbb{C}\text{-memm.}$$

no zero

$$\text{pole: } s = 1 + \frac{2\pi i}{\ln p} \cdot m \quad m \in \mathbb{Z}$$

$$\text{Res} = 1 \in \frac{1}{2}\mathbb{Z}$$

Def $N_d = \#\{f \text{ monic irreducible in } \mathbb{F}_p[x] \mid \deg(f) = d\}$

$$M_d = \sum_{k|d} k \cdot N_k$$

$$Z(\mathbb{F}_p[x], T) = \prod_{\substack{f \text{ monic} \\ \text{irred}}} \frac{1}{1-T^{\deg f}}$$

$$= \prod_{d=1}^{\infty} \left(\frac{1}{1-T^d}\right)^{N_d} \stackrel{(\ast)}{=} \exp\left(\sum_{d=1}^{\infty} \frac{T^d}{d} \left(\sum_{k|d} k N_k\right)\right)$$

$$= \frac{1}{1-pT}$$

$$\Rightarrow M_d = p^d \quad (d=1, 2, 3, \dots)$$

$$\text{By M\"obius inverse} \quad N_d = \frac{1}{d} \sum_{k|d} \mu\left(\frac{d}{k}\right) p^k = \frac{p^d}{d} + \mathcal{O}(p^{\frac{d}{2}+\epsilon})$$

This is prime number theorem for polynomial ring $\mathbb{F}_p[x]$.

$$\textcircled{2} \quad A = \mathbb{Z}[x]/(p, f(x)) = \mathbb{F}_p[x]/(f(x)) \quad f \in \mathbb{F}_p[x], \deg(f) = d > 0 \quad \dim = 0$$

write $f(x) = f_1(x)^{a_1} \dots f_k(x)^{a_k}$ f_1, \dots, f_k monic, irreducible distinct

max ideals in $A = (f_i) = f_i A$

$$N(f_i A) = \# A/f_i A = \# \mathbb{F}_p[x]/(f_i) = p^{\deg f_i}$$

$$\Rightarrow \zeta(A, s) = \prod_{i=1}^k \frac{1}{1-(p^{\deg f_i})^{-s}} = Z(A, P^{-s})$$

$$Z(A, T) = \prod_{i=1}^k \frac{1}{1-T^{\deg f_i}}$$

$\Rightarrow \zeta(A, s)$ is \mathbb{C} -mem satisfies GRH

Questions: How fast can one compute $Z(\mathbb{F}_p[x]/(f), T)$?

~~From~~ Conjecture $f(x)$ in $\mathbb{F}_p[x]$ can be factorized in polynomial time $(d \log p)^{O(1)}$ ^{random}

↑ almost true, but still not proved

Question: Can one factor $f(x) \in \mathbb{F}_p[x]$ in determined poly time $O(d \log p)^{O(1)}$
 This is open even if $f = x^2 - a$ (quadratic)
 (yes if you assume GRH)

Conjecture: $RP = P$ (random poly time = poly time)

Question: $GRH \Rightarrow "RP = P"$

Given $a \in \mathbb{F}_p$, $x^2 - a$ is reducible in $\mathbb{F}_p[x]$

$$\Leftrightarrow \left(\frac{a}{p}\right) = 1 \quad (\text{Legendre symbol})$$

$$\Leftrightarrow a \text{ is a square in } \mathbb{F}_p$$

$$\Leftrightarrow a = b^2 \text{ in } \mathbb{F}_p \text{ for some } b \in \mathbb{F}_p$$

Q: how to find b quickly

↓

Special case: if $p \equiv 1 \pmod{4} \Rightarrow -1$ is a square

how to find $\sqrt{-1}$ quickly?

Q: how fast can one find a nonsquare in \mathbb{F}_p ?